

Dynamic Programming

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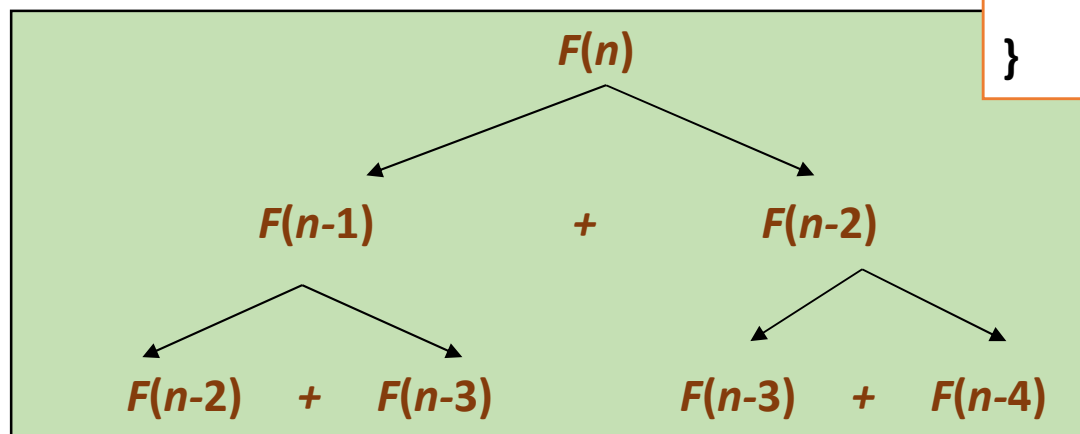
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Based on slides from:
Shi-Chun Tsai
Roger Crawfis

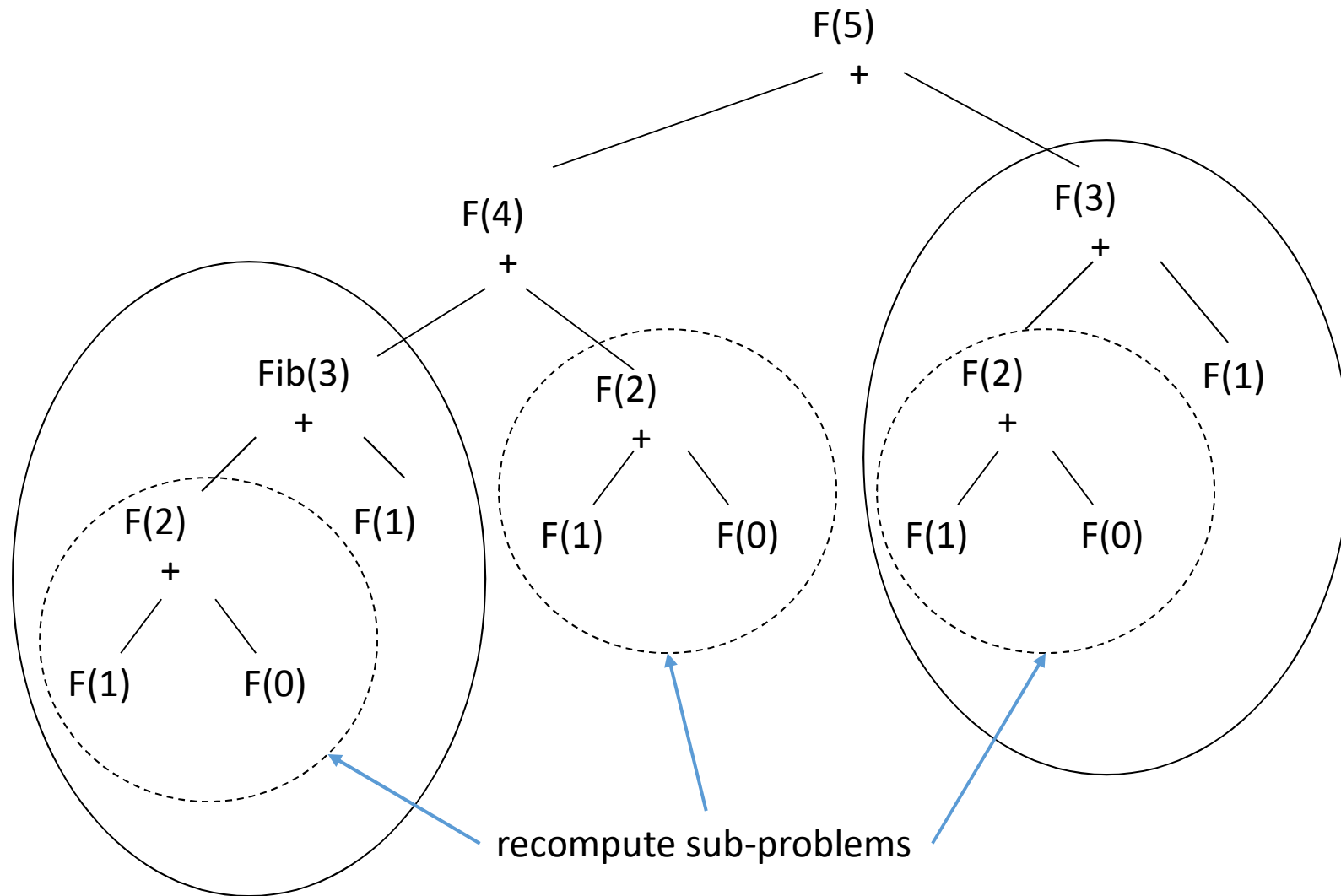
Fibonacci Numbers

- Computing the n-th Fibonacci number recursively:
 - $F(n) = F(n-1) + F(n-2)$
 - $F(0) = 0$
 - $F(1) = 1$
 - Top-down approach
 - **Not efficient!** ($O(2^n)$)

```
int F(int n)
{
    if (n <= 1)
        return 1;
    else
        return F(n - 1) + F(n - 2);
}
```



Fibonacci Numbers



Fibonacci Numbers

- Computing the n -th Fibonacci number using a bottom-up approach:
 - $F(0) = 0$
 - $F(1) = 1$
 - $F(2) = 1+0 = 1$
 - ...
 - $F(n-2) =$
 - $F(n-1) =$
 - $F(n) = F(n-1) + F(n-2)$

0	1	1	. . .	$F(n-2)$	$F(n-1)$	$F(n)$
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- Efficiency:
 - Time – $O(n)$
 - Space – $O(n)$

Dynamic Programming

- Dynamic Programming is an algorithm design technique for *optimization problems*: often minimizing or maximizing.
- Like divide and conquer, DP solves problems by combining solutions to sub-problems.
- Unlike divide and conquer, **sub-problems are not independent**.
 - Sub-problems may share sub-sub-problems

Dynamic Programming

- The term Dynamic Programming comes from Control Theory, not computer science. Programming refers to the use of tables (arrays) to construct a solution.
- In dynamic programming we usually reduce time by increasing the amount of space
- We solve the problem by solving sub-problems of increasing size and saving each optimal solution in a table (usually).
- The table is then used for finding the optimal solution to larger problems.
- Time is saved since each sub-problem is solved only once.

Dynamic Programming

- The best way to get a feel for this is through some more examples.
 - Rod-Cutting Problem
 - Matrix Chaining Multiplication
 - Longest Common Subsequence
 - Optimal Binary Search

Rod cutting problem

Given a rod of length n inches and a table of prices $p[i]$ for $i = 1, 2, \dots, n$, determine the maximum revenue $r[n]$ obtained by cutting up the rod and selling the pieces.

$P[i]$ for example :

i	1	2	3	4	5	6	7	8	9	10
p[i]	1	5	8	9	10	17	17	20	24	30

given $n=7$,

$7=3+4$, revenue = $8 + 9 = 17$

$7=1+6$, revenue = $1+17 = 18$.

$7=2+2+3$, revenue = $5+5+8 = 18$.

Rod cutting problem

If an optimal solution cuts the rod into k pieces:

$n = i_1 + i_2 + \dots + i_k$ ---- sum of each pieces' lengths

$r[n] = p[i_1] + \dots + p[i_k]$ ---- corresponding revenue

$r[n] = \max_{i=1..n} \{ p[i] + r[n-i] \},$

$r[0] = 0.$

CUT-ROD(p, n)

1. if $n == 0$ return 0;
2. $q = -999999999$;
3. for $i = 1$ to n
4. $q = \max(q, p[i] + \text{CUT-ROD}(p, n - i));$
5. return q ;

Rod cutting problem

Memoized-CUT-ROD(p, n)

1. let $r[0..n]$ be a new array
2. for $i=0$ to n
3. $r[i] = -9999999$;
4. return Memoized-CUT-ROD-AUX(p, n, r)

Time = $O(n^2)$, why?

Memoized-CUT-ROD-AUX(p, n, r)

1. if $r[n] \geq 0$ return $r[n]$;
2. if $n == 0$ $q = 0$;
3. else $q = -9999999$;
4. for $i=0$ to n
5. $q = \max(q, p[i] + \text{Memoized-CUT-ROD-AUX}(p, n-i, r))$;
6. $r[n] = q$;
7. return q ;

BOTTOM-UP-CUT-ROD(p, n)

```
1. let r[0,..n] be a new array
2. r[0]=0;
3. for j=1 to n
4.     q=-9999999;
5.     for i= 1 to j
6.         q=max(q, p[i] + r[j-i]);
7.     r[j]=q;
8. return r[n];
```

EXTENDED-BOTTOM-UP-CUT-ROD(p, n)

```
1. let r[0,..n] and s[0..n] be a new arrays
2. r[0]=0;
3. for j=1 to n
4.     q=-9999999;
5.     for i= 1 to j
6.         if q < p[i] + r[j-i])
7.             q = p[i] + r[j-i]);
8.             s[j]=i;
9.     r[j]=q;
10. return r and s;
```

PRINT-CUT-ROD-SOLUTION(p, n)

```
1. (r, s) = EXTENDED-BOTTOM-UP-CUT-ROD(p, n);
2. while n > 0
3.     print s[n];    n=n - s[n];
```

i	1	2	3	4	5	6	7	8	9	10
p[i]	1	5	8	9	10	17	17	20	24	30
r[i]	1	5	8	10	13	17	18	22	25	30
s[i]	1	2	3	2	2	6	1	2	3	10

Matrix Chain Multiplication

Given a chain $A_1, A_2, \dots, A_n$ of matrices where $A_i, 1 \leq i \leq n$, has dimension $p_{i-1} \times p_i$, fully parenthesize (i.e., find a way to evaluate) the product $A_1 A_2 \dots A_n$ such that the number of scalar multiplications is minimum.

Ex : $A_1 \times A_2 \times A_3 \times A_4$

$p_i : 13 \quad 5 \quad 89 \quad 3 \quad 34$

There are five ways to parenthesize

$(A_1(A_2(A_3A_4))), (A_1((A_2A_3)A_4)), ((A_1A_2)(A_3A_4)),$
 $((A_1(A_2A_3))A_4), (((A_1A_2)A_3)A_4).$

串列矩陣相乘(例)

$$(A_1(A_2(A_3A_4))) \rightarrow A_1 \times (A_2A_3A_4) \rightarrow A_2 \times (A_3A_4) \rightarrow A_3 \times A_4$$

$$\begin{aligned}\text{cost} &= 13*5*34 + 5*89*34 + 89*3*34 \\ &= 2210 + 15130 + 9078 \\ &= 26418\end{aligned}$$

$$\begin{array}{ccccc} A_1 & \times & A_2 & \times & A_3 & \times & A_4 \\ 13 & 5 & 89 & 3 & 34 \end{array}$$

$$(A_1(A_2(A_3A_4))), \text{ costs} = 26418$$

$$(A_1((A_2A_3)A_4)), \text{ costs} = 4055$$

$$((A_1A_2)(A_3A_4)), \text{ costs} = 54201$$

$$((A_1(A_2A_3))A_4), \text{ costs} = 2856$$

$$(((A_1A_2)A_3)A_4), \text{ costs} = 10582$$

Enumeration Approach

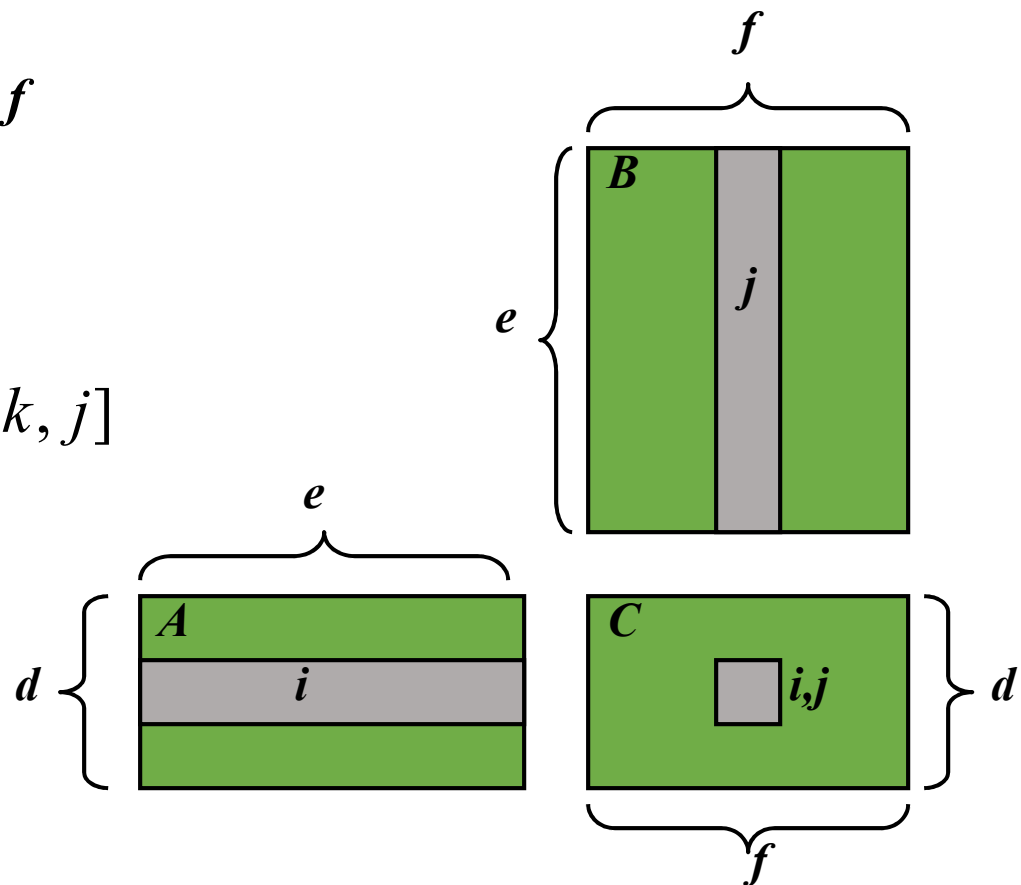
- **Matrix Chain-Product Alg.:**
 - Try all possible ways to parenthesize $A=A_0 * A_1 * \dots * A_{n-1}$
 - Calculate number of ops for each one
 - Pick the one that is best
- Running time:
 - The number of parenthesizations is equal to the number of binary trees with n nodes
 - This is **exponential!**
 - It is called the Catalan number, and it is almost 4^n .
 - This is a terrible algorithm!

Matrix Chain Multiplication

- Review: Matrix Multiplication.

- $C = A * B$
- A is $d \times e$ and B is $e \times f$
- $O(d \cdot e \cdot f)$ time

$$C[i, j] = \sum_{k=0}^{e-1} A[i, k] * B[k, j]$$



Matrix Chain Multiplication

- **Matrix Chain Multiplication:**

- Compute $A = A_0 * A_1 * \dots * A_{n-1}$
- A_i is $d_i \times d_{i+1}$
- Problem: How to parenthesize?

- Example

- B is 3×100
- C is 100×5
- D is 5×5
- $(B * C) * D$ takes $1500 + 75 = 1575$ ops
- $B * (C * D)$ takes $1500 + 2500 = 4000$ ops

Catalan Number

For any n , # ways to fully parenthesize the product of a chain of $n+1$ matrices

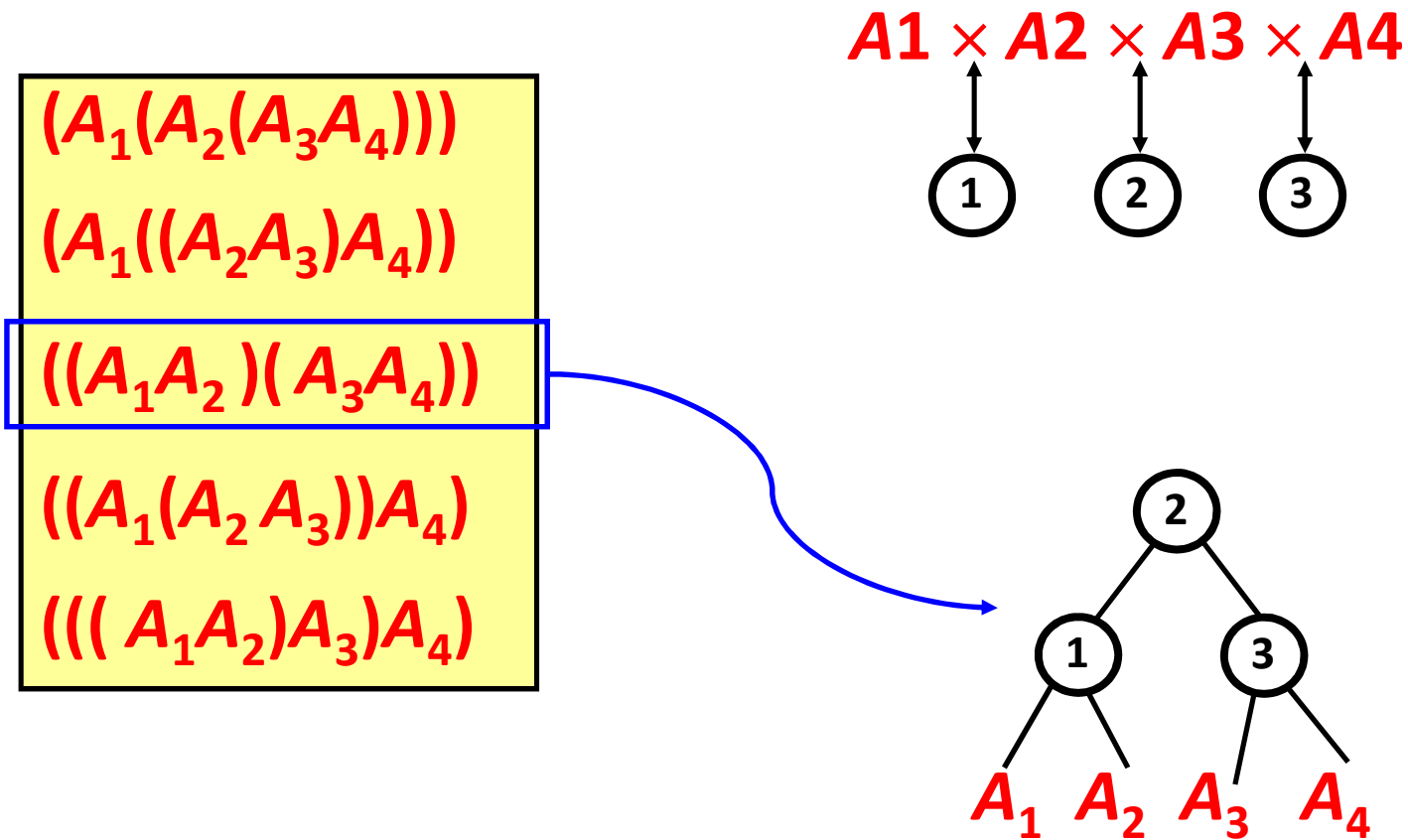
= # binary trees with n nodes.

= # permutations generated from $1\ 2\ \dots\ n$ through a stack.

= # n pairs of fully matched parentheses.

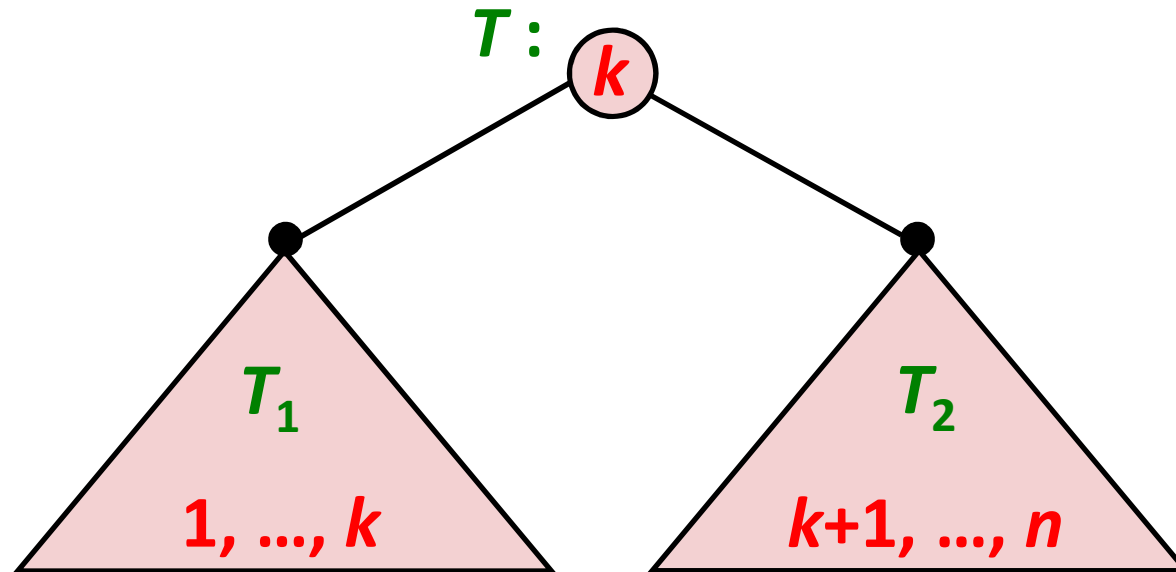
= n -th Catalan Number = $C(2n, n)/(n + 1) = \Omega(4^n/n^{3/2})$

Binary Tree View



Define Sub-problem

- If T is an optimal solution for $A_1, A_2, \dots, A_n$



- then, T_1 (resp. T_2) is an optimal solution for $A_1, A_2, \dots, A_k$ (resp. $A_{k+1}, A_{k+2}, \dots, A_n$).

Define Sub-problem

- Let $m[i, j]$ be the minimum number of scalar multiplications needed to compute the product $A_i \dots A_j$, for $1 \leq i \leq j \leq n$.
- If the optimal solution splits the product $A_i \dots A_j = (A_i \dots A_k) \times (A_{k+1} \dots A_j)$, for some k , $i \leq k < j$, then $m[i, j] = m[i, k] + m[k+1, j] + p_{i-1} p_k p_j$. Hence, we have :

$$\begin{aligned} m[i, j] &= \min_{i \leq k < j} \{m[i, k] + m[k+1, j] + p_{i-1} p_k p_j\} \\ &= 0 \text{ if } i = j \end{aligned}$$

$\langle P_0, P_1, \dots, P_n \rangle$

MATRIX-CHAIN-ORDER(P)

$A_{P_0 \times P_1} A_{P_1 \times P_2} \dots A_{P_{n-1} \times P_n}$

```
1. n = p.length - 1;
2. let m[1..n, 1..n] and s[1..n-1, 2..n] be new tables;
3. for i= 1 to n    m[i, i]=0;
4. for  $\ell= 2$  to n    // the chain length
5.     { for i = 1 to n -  $\ell$  + 1
6.         { j = i +  $\ell$  - 1;
7.             m[i, j]=  $\infty$ ;
8.             for k= i to j-1
9.                 { q = m[i, k] + m[k+1, j] +  $P_{i-1}P_kP_j$ 
10.                  if q < m[i, j]
11.                      { m[i, j] = q ; s[i, j] = k ; }
12.                  } } }
13. return m and s
    Time =  $O(n^3)$ 
```

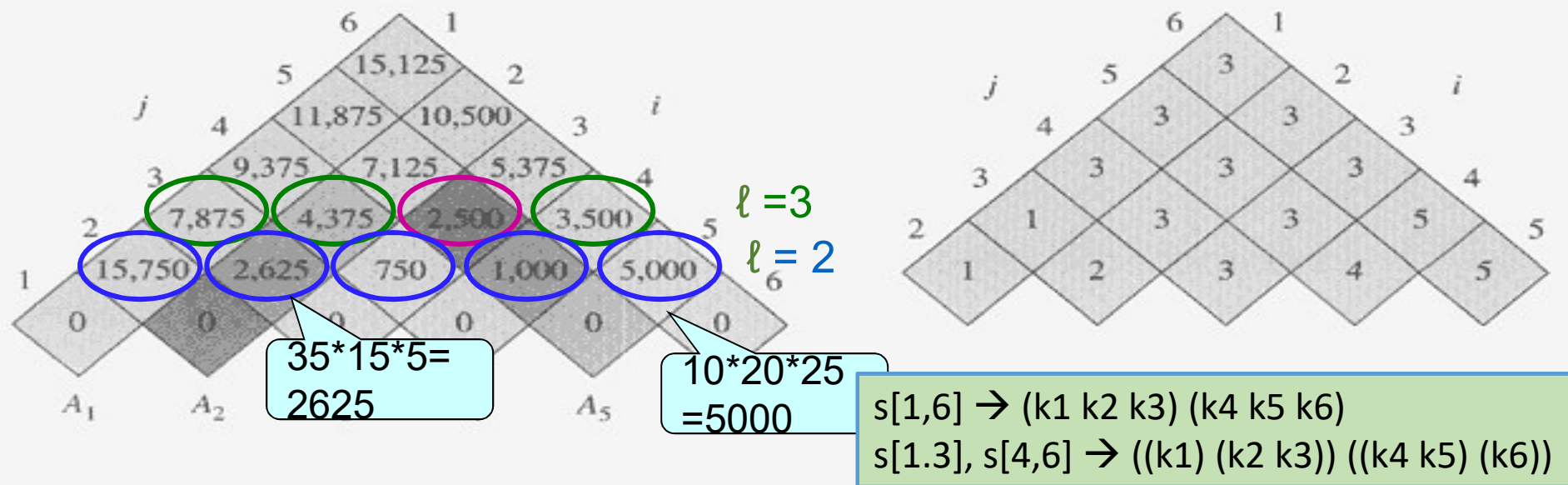


Figure 15.3 The m and s tables computed by MATRIX-CHAIN-ORDER for $n = 6$ and the following matrix dimensions:

matrix	dimension
A_1	30×35
A_2	35×15
A_3	15×5
A_4	5×10
A_5	10×20
A_6	20×25

$$m[3,5] = \min \begin{cases} m[3,4] + m[5,5] + 15 \cdot 10 \cdot 20 \\ = 750 + 0 + 3000 = 3750 \\ m[3,3] + m[4,5] + 15 \cdot 5 \cdot 20 \\ = 0 + 1000 + 1500 = 2500 \end{cases}$$

The tables are rotated so that the main diagonal runs horizontally. Only the main diagonal and upper triangle are used in the m table, and only the upper triangle is used in the s table. The minimum number of scalar multiplications to multiply the 6 matrices is $m[1, 6] = 15,125$. Of the darker entries, the pairs that have the same shading are taken together in line 9 when computing

$$m[2, 5] = \min \begin{cases} m[2, 2] + m[3, 5] + p_1 p_2 p_5 = 0 + 2500 + 35 \cdot 15 \cdot 20 = 13000, \\ m[2, 3] + m[4, 5] + p_1 p_3 p_5 = 2625 + 1000 + 35 \cdot 5 \cdot 20 = 7125, \\ m[2, 4] + m[5, 5] + p_1 p_4 p_5 = 4375 + 0 + 35 \cdot 10 \cdot 20 = 11375 \end{cases} = 7125.$$

Example

- Consider an example with sequence of dimensions $\langle 5, 2, 3, 4, 6, 7, 8 \rangle$

$$m[i, j] = \min_{i \leq k < j} \{m[i, k] + m[k+1, j] + p_{i-1} p_k p_j\}$$

	1	2	3	4	5	6
1	0	30	64	132	226	348
2		0	24	72	156	268
3			0	72	198	366
4				0	168	392
5					0	336
6						0

$$m[2,5] = \min($$

$$m[2,2] + m[3,5] + 2 \cdot 3 \cdot 7, (0 + 198 + 42)$$

$$m[2,3] + m[4,5] + 2 \cdot 4 \cdot 7, (24 + 168 + 56)$$

$$m[2,4] + m[5,5] + 2 \cdot 6 \cdot 7, (72 + 0 + 84)$$

$$)$$

- Constructing an optimal solution
 - Each entry $s[i, j]=k$ records that the optimal parenthesization of $A_i A_{i+1} \dots A_j$ splits the product between A_k and A_{k+1}
 - $A_{i..j} \rightarrow (A_{i..s[i..j]})(A_{s[i..j]+1..j})$

```

PRINT-OPTIMAL-PARENS( $s, i, j$ )
1  if  $i = j$ 
2      then print " $A$ ";
3      else print "("
4          PRINT-OPTIMAL-PARENS( $s, i, s[i, j]$ )
5          PRINT-OPTIMAL-PARENS( $s, s[i, j] + 1, j$ )
6      print ")"
  
```

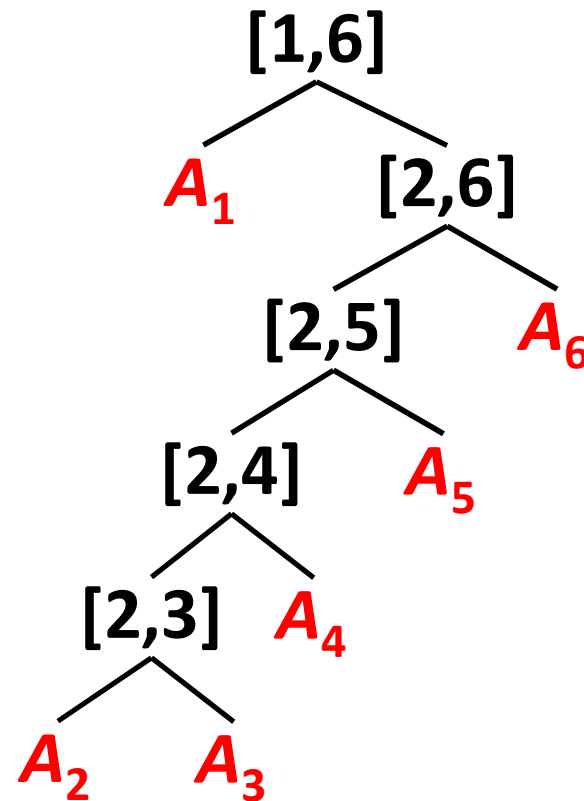
Matrix Chain Multiplication: Example

$$m[i, j] = \min_{i \leq k < j} \{m[i, k] + m[k+1, j] + p_{i-1} p_k p_j\}$$

$s[i, j]$ = a value of k that gives the minimum

<i>s</i>	1	2	3	4	5	6
1		1	1	1	1	1
2			2	3	4	5
3				3	4	5
4					4	5
5						5

$A_1((((A_2 A_3) A_4) A_5) A_6)$



Complexity

$$m[i, j] = \min_{i \leq k < j} \{m[i, k] + m[k+1, j] + p_{i-1} p_k p_j\}$$

- To fill the entry $m[i, j]$, it needs $\Theta(j-i)$ operations.
Hence the execution time of the algorithm is

$$\begin{aligned} \sum_{i=1}^n \sum_{j=i}^n (j-i) &= \sum_{j=1}^n \sum_{i=1}^j (j-i) = \sum_{j=1}^n \left[j^2 - \frac{j(j+1)}{2} \right] \\ &= \sum_{j=1}^n \Theta(j^2) = \Theta(n^3) \end{aligned}$$

Time: $\Theta(n^3)$

Space: $\Theta(n^2)$

MEMOIZED-MATRIX-CHAIN(P)

$\langle P_0, P_1, \dots, P_n \rangle$

1. $n = p.length - 1;$
2. let $m[1..n, 1..n]$ be a new table;
3. for $i = 1$ to n
4. for $j = i$ to n
5. $m[i, j] = \infty;$
6. return LOOKUP-CHAIN($m, p, 1, n$)

Lookup-Chain(m, P, i, j)

1. if $m[i, j] < \infty$ return $m[i, j];$
2. if $i == j$ $m[i, j] = 0$
3. else for $k = i$ to $j - 1$
4. { $q =$ Lookup-Chain(m, P, i, k)
 $+ \text{Lookup-Chain}(m, P, k + 1, j) + P_{i-1}P_kP_j ;$
5. if $q < m[i, j]$ $m[i, j] = q;$ }
6. return $m[i, j] ;$ } time: $O(n^3)$ space: $\Theta(n^2)$

Matrix Chain Multiplication: Quiz!

- Consider an example with sequence of dimensions $\langle 2, 3, 5, 2, 4, 1 \rangle$

$$m[i, j] = \min_{i \leq k < j} \{m[i, k] + m[k+1, j] + p_{i-1} p_k p_j\}$$

$m[i, j]$	1	2	3	4	5
1	0				
2	-	0			
3	-	-	0		
4	-	-	-	0	
5	-	-	-	-	0

$s[i, j]$	1	2	3	4	5
1	-				
2	-	-			
3	-	-	-		
4	-	-	-	-	

Answer: parenthesize $A_1 A_2 A_3 A_4 A_5$

Matrix Chain Multiplication: Quiz!

- Consider an example with sequence of dimensions $\langle 2, 3, 5, 2, 4, 1 \rangle$

$$m[i, j] = \min_{i \leq k < j} \{m[i, k] + m[k+1, j] + p_{i-1} p_k p_j\}$$

$m[i,j]$	1	2	3	4	5
1	0	30	42	58	39
2	-	0	30	54	33
3	-	-	0	40	18
4	-	-	-	0	8
5	-	-	-	-	0

$s[i,j]$	1	2	3	4	5
1	-	1	1	3	1
2	-	-	2	3	2
3	-	-	-	3	3
4	-	-	-	-	4

Answer: parenthesize $(A_1) ((A_2) ((A_3) ((A_4)(A_5))))$

Dynamic Programming - Steps

1. **Characterize** the structure of an optimal solution.
2. **Derive** a **recursive formula** for computing the values of optimal solutions.
3. **Compute the value** of an optimal solution **in a bottom-up fashion** (top-down is also applicable).
4. **Construct** an optimal solution in a top-down fashion.

Elements of Dynamic Programming

- **Optimal substructure** (a problem exhibits *optimal substructure* if an optimal solution to the problem contains within it optimal solutions to subproblems)
- **Overlapping subproblems**
- **Reconstructing an optimal solution**
- **Memoization**

Longest Common Subsequence (LCS)

- A subsequence of a sequence/string S is obtained by deleting zero or more symbols from S . For example, the following are **some** subsequences of “president”: pred, sdn, predent. In other words, the letters of a subsequence of S appear in order in S , but they are not required to be consecutive.
- The longest common subsequence problem is to find a maximum length common subsequence between two sequences.

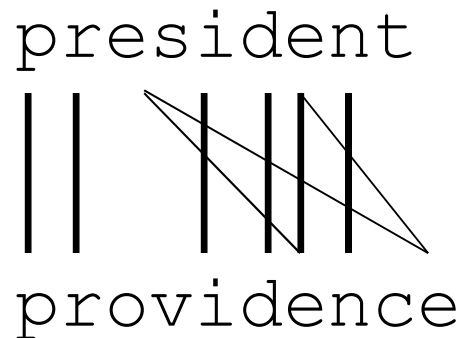
Longest Common Subsequence (LCS)

For instance,

Sequence 1: president

Sequence 2: providence

Its LCS is priden.



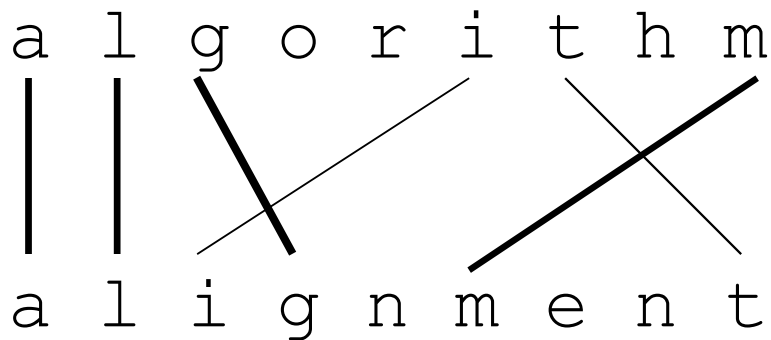
Longest Common Subsequence (LCS)

Another example:

Sequence 1: algorithm

Sequence 2: alignment

One of its LCS is algm.



Longest Common Subsequence

Given two sequences $X = \langle x_1, x_2, \dots, x_m \rangle$ and $Y = \langle y_1, y_2, \dots, y_n \rangle$ find a maximum-length common subsequence of X and Y .

ex1 : Input: ABCBDAB BDCABA

C.S.'s: AB, ABA, BCB, BCAB, BCBA ...

Longest: BCAB, BCBA, ... Length = 4

A B C B D A B
B D C A B A

ex2 :

vintner
writers

Naive Algorithm

- For every subsequence of X , check whether it's a subsequence of Y .
- **Time:** $O(n2^m)$.
 - 2^m subsequences of X to check.
 - Each subsequence takes $\Theta(n)$ time to check: scan Y for first letter, for second, and so on.

Step 1: Characterize

Let $Z = \langle z_1, z_2, \dots, z_k \rangle$ be a LCS of $X = \langle x_1, x_2, \dots, x_m \rangle$ and $Y = \langle y_1, y_2, \dots, y_n \rangle$.

1. If $x_m = y_n$, then $z_k = x_m = y_n$ and $\langle z_1, z_2, \dots, z_{k-1} \rangle$ is a LCS of $\langle x_1, x_2, \dots, x_{m-1} \rangle$ and $\langle y_1, y_2, \dots, y_{n-1} \rangle$.
2. If $z_k \neq x_m$, then Z is a LCS of $\langle x_1, x_2, \dots, x_{m-1} \rangle$ and Y .
3. If $z_k \neq y_n$, then Z is a LCS of X and $\langle y_1, y_2, \dots, y_{n-1} \rangle$.

Step 2: A recursive solution

- Let $C[i, j]$ be the length of an LCS of the prefixes $X_i = \langle x_1, x_2, \dots, x_i \rangle$ and $Y_j = \langle y_1, y_2, \dots, y_j \rangle$, for $1 \leq i \leq m$ and $1 \leq j \leq n$. We have :

$$C[i, j] = 0 \text{ if } i = 0, \text{ or } j = 0$$

$$= C[i-1, j-1] + 1 \text{ if } i, j > 0 \text{ and } x_i = y_j$$

$$= \max(C[i, j-1], C[i-1, j]) \text{ if } i, j > 0 \text{ and } x_i \neq y_j$$

Step 3: Computing the length of an LCS

LCS-Length(X,Y)

1. $m = X.length;$
2. $n = Y.length;$
3. let $b[1..m, 1..n]$ and $c[0..m, 0..n]$ be new tables;
4. for $i = 1$ to m do $c[i, 0] = 0;$
5. for $j = 1$ to n do $c[0, j] = 0;$
6. for $i = 1$ to m do
7. for $j = 1$ to n do
8. { if $x_i == y_j$
9. { $c[i,j] = c[i-1,j-1]+1;$ $b[i,j] = "\nwarrow";$ }
10. else if $c[i-1, j] \geq c[i, j-1]$
11. { $c[i, j] = c[i-1, j];$ $b[i, j] = "\uparrow";$ }
12. else { $c[i, j] = c[i, j-1];$ $b[i, j] = "\leftarrow";$ }
13. }
14. return b, c

Step 4: Constructing an LCS

Print-LCS(b, X, i, j)

1. if $i == 0$ or $j == 0$ return;
2. if $b[i,j] == "\nwarrow$ ";
3. { Print-LCS(b, X, i-1, j-1);
4. print x_i ;
5. }
6. else if $b[i,j] == "\uparrow$ "
7. Print-LCS(b, X, i-1, j);
8. else Print-LCS(b, X, i, j-1);

Print-LCS(b, X, X.length, Y.length)

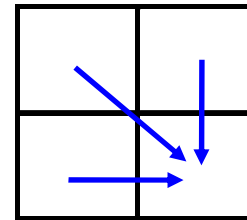
Longest Common Subsequence

$C[i, j] = 0$ if $i = 0$, or $j = 0$

$= C[i-1, j-1] + 1$ if $i, j > 0$ and $x_i = y_j$

$= \max(C[i, j-1], C[i-1, j])$ if $i, j > 0$ and $x_i \neq y_j$

	A	B	C	B	D	A	B
B	0	1	1	1	1	1	1
D	0	1	1	1	2	2	2
C	0	1	2	2	2	2	2
A	1	1	2	2	2	3	3
B	1	2	2	3	3	3	4
A	1	2	2	3	3	4	4



Time: $O(mn)$

Space: $O(mn)$

LCS: BCBA

Longest Common Subsequence: Quiz!

$$\begin{aligned} C[i, j] &= 0 \text{ if } i = 0, \text{ or } j = 0 \\ &= C[i-1, j-1] + 1 \text{ if } i, j > 0 \text{ and } x_i = y_j \\ &= \max(C[i, j-1], C[i-1, j]) \text{ if } i, j > 0 \text{ and } x_i \neq y_j \end{aligned}$$

String1: ABCDH

String2: AEDHR

LCS: ADH

$c[i, j]$	A	B	C	D	H
A					
E					
D					
H					
R					

$b[i, j]$	A	B	C	D	H
A					
E					
D					
H					
R					

Longest Common Subsequence: Quiz!

$$\begin{aligned}
 C[i, j] &= 0 \text{ if } i = 0, \text{ or } j = 0 \\
 &= C[i-1, j-1] + 1 \text{ if } i, j > 0 \text{ and } x_i = y_j \\
 &= \max(C[i, j-1], C[i-1, j]) \text{ if } i, j > 0 \text{ and } x_i \neq y_j
 \end{aligned}$$

String1: ABCDH

String2: AEDHR

LCS: ADH

c[i,j]	A	B	C	D	H
A	1	1	1	1	1
E	1	1	1	1	1
D	1	1	1	2	2
H	1	1	1	2	3
R	1	1	1	2	3

b[i,j]	A	B	C	D	H
A	↖	←	←	←	←
E	↑	↑	↑	↑	↑
D	↑	↑	↑	↖	←
H	↑	↑	↑	↑	↖
R	↑	↑	↑	↑	↑

Optimal binary search trees:

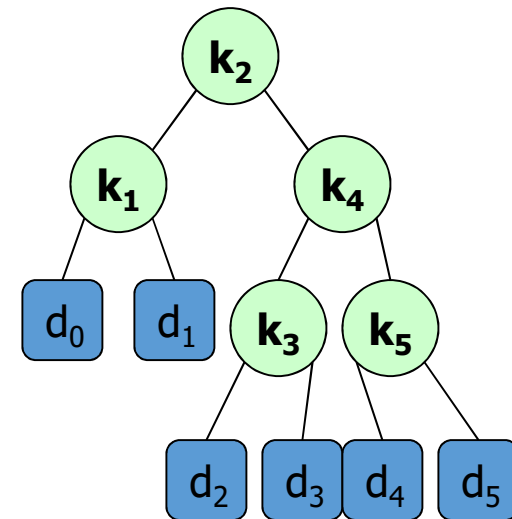
- $k=(k_1,k_2,\dots,k_n)$ of n distinct keys in sorted order
($k_1 < k_2 < \dots < k_n$)
- Each key k_i has a probability p_i that a search will be for k_i
- Some searches may fail, so we also have $n+1$ dummy keys: $d_0, d_1, \dots, d_n$, where $d_0 < k_1$, $k_n < d_n$ and $k_i < d_i < k_{i+1}$ for $i=1, \dots, n-1$.
- For each dummy key d_i , we have a probability q_i that a search will correspond to d_i .

$$\sum_{i=1}^n p_i + \sum_{i=1}^n q_i = 1$$

Optimal binary search trees: Example

i	0	1	2	3	4	5
p _i		0.15	0.1	0.05	0.1	0.2
q _i	0.05	0.1	0.05	0.05	0.05	0.1

Expected search cost: 2.8

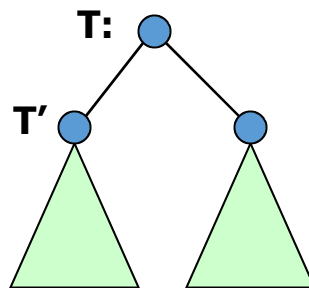


$E[\text{Search cost in } T]$

$$= \sum_{i=1}^n (\text{depth}_T(k_i) + 1) \cdot p_i + \sum_{i=0}^n (\text{depth}_T(d_i) + 1) \cdot q_i$$

$$= 1 + \sum_{i=1}^n \text{depth}_T(k_i) \cdot p_i + \sum_{i=0}^n \text{depth}_T(d_i) \cdot q_i$$

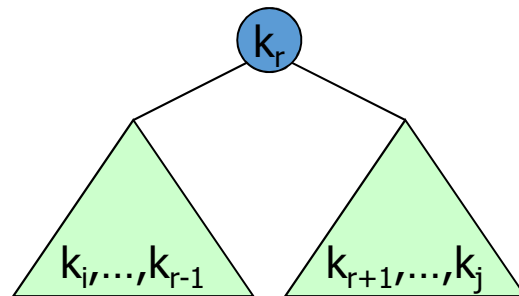
- Goal: Given a set of probabilities, want to construct a binary search tree whose expected search cost is smallest .
- Step 1: The structure of an optimal BST.
Consider any subtree of a BST, which must contain contiguous keys $k_i, \dots, k_j$ for some $1 \leq i \leq j \leq n$ and must also have as its leaves the dummy keys $d_{i-1}, \dots, d_j$.



Optimal-BST has the property of optimal substructure.

Step 2: A recursive solution

- Find an optimal BST for the keys $k_i, \dots, k_j$, where $j \geq i-1$, $i \geq 1$, $j \leq n$. (When $j=i-1$, there is no actual key, but d_{i-1})
- Define $e[i,j]$ as the expected cost of searching an optimal BST containing $k_i, \dots, k_j$. Want to find $e[1,n]$.
For dummy key d_{i-1} , $e[i,i-1] = q_{i-1}$.
- For $j \geq i$, need to select a root k_r among $k_i, \dots, k_j$.



- For a subtree with keys $k_i, \dots, k_j$, denote $w(i,j)$ as the sum of probabilities of the subtree for $k_i, \dots, k_j$ whose root is k_r :

$$w(i,j) = \sum_{\ell=i}^j p_{\ell} + \sum_{\ell=i-1}^j q_{\ell} = w(i, r-1) + p_r + w(r+1, j)$$

- $e[i,j] = p_r + (e[i,r-1] + w(i,r-1)) + (e[r+1,j] + w(r+1,j))$
 $= e[i,r-1] + e[r+1,j] + w(i,j)$
- Thus

$$e[i,j] = \begin{cases} q_{i-1} & \text{if } j = i-1 \\ \min_{i \leq r \leq j} \{ e[i, r-1] + e[r+1, j] + w(i, j) \} & \text{if } i \leq j \end{cases}$$

- Step 3: Computing the expected search cost of an optimal BST
- Use a table $w[1..n+1, 0..n]$ for $w(i,j)$'s .
 $w[i, i-1] = q_{i-1}$
 $w[i, j] = w[i, j-1] + p_j + q_j$

OPTIMAL-BST(p,q,n)

1. let $e[1..n+1, 0..n]$, $w[1..n+1, 0..n]$ and $root[1..n, 1..n]$ be new tables;
2. **for** $i=1$ **to** $n+1$
3. $e[i,i-1]=q_{i-1}$;
4. $w[i,i-1]=q_{i-1}$;
5. **for** $\ell=1$ **to** n // key span
6. **for** $i=1$ **to** $n-\ell+1$
7. { $j=i+\ell-1$;
8. $e[i,j]=\infty$;
9. $w[i,j]=w[i,j-1]+p_j+q_j$;
10. **for** $r = i$ **to** j
11. { $t=e[i, r-1]+e[r+1, j] + w[i, j]$;
12. **if** $t < e[i,j]$
13. $e[i,j]=t$;
14. $root[i,j] = r$; } }
15. return e and $root$

$\theta(n^3)$

